

# TRANSMITTAL FORM

1c930 U.S. PTO  
09/682189  
08/02/01

Electronic Version

1.0.2

Stylesheet Version:

1.0

Attorney Docket

Number:

211945US6CON

## METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR TRADING INTEREST RATE SWAPS

First Named Inventor: Warren B. Mosler

### SUBMITTED BY

Name:

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34,648

Electronic Signature Mark: /JJK

Date Signed: 20010802

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### Attached Files:

bibd-transmittal

receiptsapds.xml

specification

application.xml

declaration

dec01.TIF

declaration  
declaration  
fee-transmittal

dec02.TIF  
dec03.TIF  
receiptsfee.xml

**Attached Image File(s):**

dec01.TIF  
dec02.TIF  
dec03.TIF

09652189.080201

**Comments:**

The present application is a continuation of application Serial No. 09/209,746. The specification being filed is not identical to the specification filed in 09/209,746; however, no new matter has been added. Specifically, the Summary of the Invention and the Abstract are changed to coincide with the presently filed claims, which recite that the price of the contract is based on at least one notional cash flow discounted by at least one point on an interest rate swap curve obtained from a swap rate source. This change is supported by the specification of application Serial No. 09/209,746 in Figure 4(b), which provides an exemplary swap rate curve formed from discrete points. In addition to this example, other portions of the specification and drawings may also support the present claims.

09682139.060201

# FEE TRANSMITTAL

Electronic Version 1.0.4

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 2264**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 15-0030



Deposit Account Name: Oblon, Spivak et al.

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

## SUBMITTED BY

Authorized Name: James J. Kulbaski

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Date Signed: 20010802

## BASIC FILING FEE

| Fee Description    | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101      | \$ 710   |

Subtotal For Basic Filing Fee: \$ 710

## EXTRA CLAIM FEES

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 63      | 103      | \$ 18 | 43           | \$ 774   |
| Independent Claims: 9 | 102      | \$ 80 | 6            | \$ 480   |

Subtotal For Extra Claims Fees: \$ 1254

09632189-000201

ADDITIONAL FEES

| Fee Description                                    | Fee Code | Fee Paid |
|--|----------|----------|
| Publication Fee For Early or Voluntary Publication | 195      | \$ 300   |

Subtotal For Additional Fees: \$ 300

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